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# Dependency grammar and dependency parsing

Syntactic analysis (5LN455)

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Based on slides from Marco Kuhlmann





# Mid-course evaluation

- Mostly positive
  - Good lectures and slides
  - Good with small exercises during lectures
  - Good assignments
- Main negative points:
  - Air in Turing
  - Parallel with semantic analysis
- Final evaluation at end of course, student portal



# Overview

- Dependency parsing in general
- Arc-factored dependency parsing
  - Collins' algorithm
  - Eisner's algorithm
- Transition-based dependency parsing
  - The arc-standard algorithm
- Evaluation of dependency parsers



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# Dependency grammar



# Dependency grammar

- The term ‘dependency grammar’ does not refer to a specific grammar formalism.
- Rather, it refers to a specific way to describe the syntactic structure of a sentence.



# The notion of dependency

- The basic observation behind **constituency** is that groups of words may act as one unit.

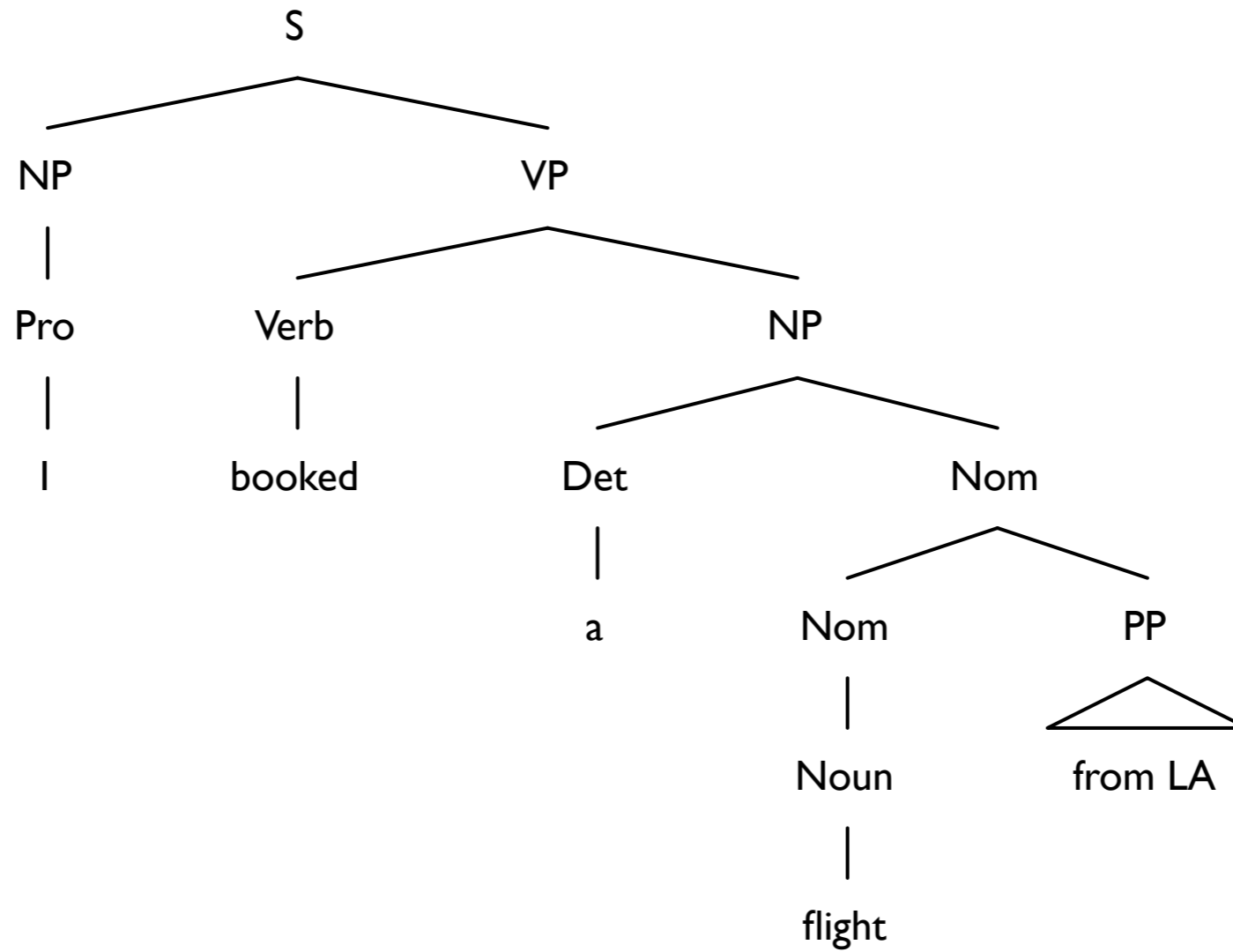
*Example:* noun phrase, prepositional phrase

- The basic observation behind **dependency** is that words have grammatical functions with respect to other words in the sentence.

*Example:* subject, modifier

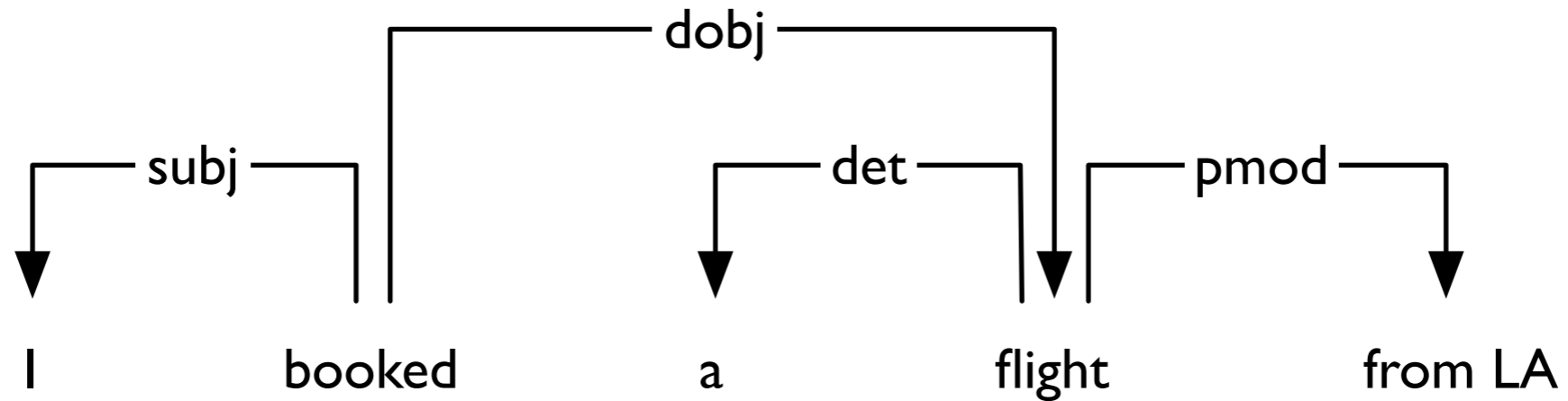


# Phrase structure trees





# Dependency trees



- In an arc  $h \rightarrow d$ , the word  $h$  is called the **head**, and the word  $d$  is called the **dependent**.
- The arcs form a rooted tree.





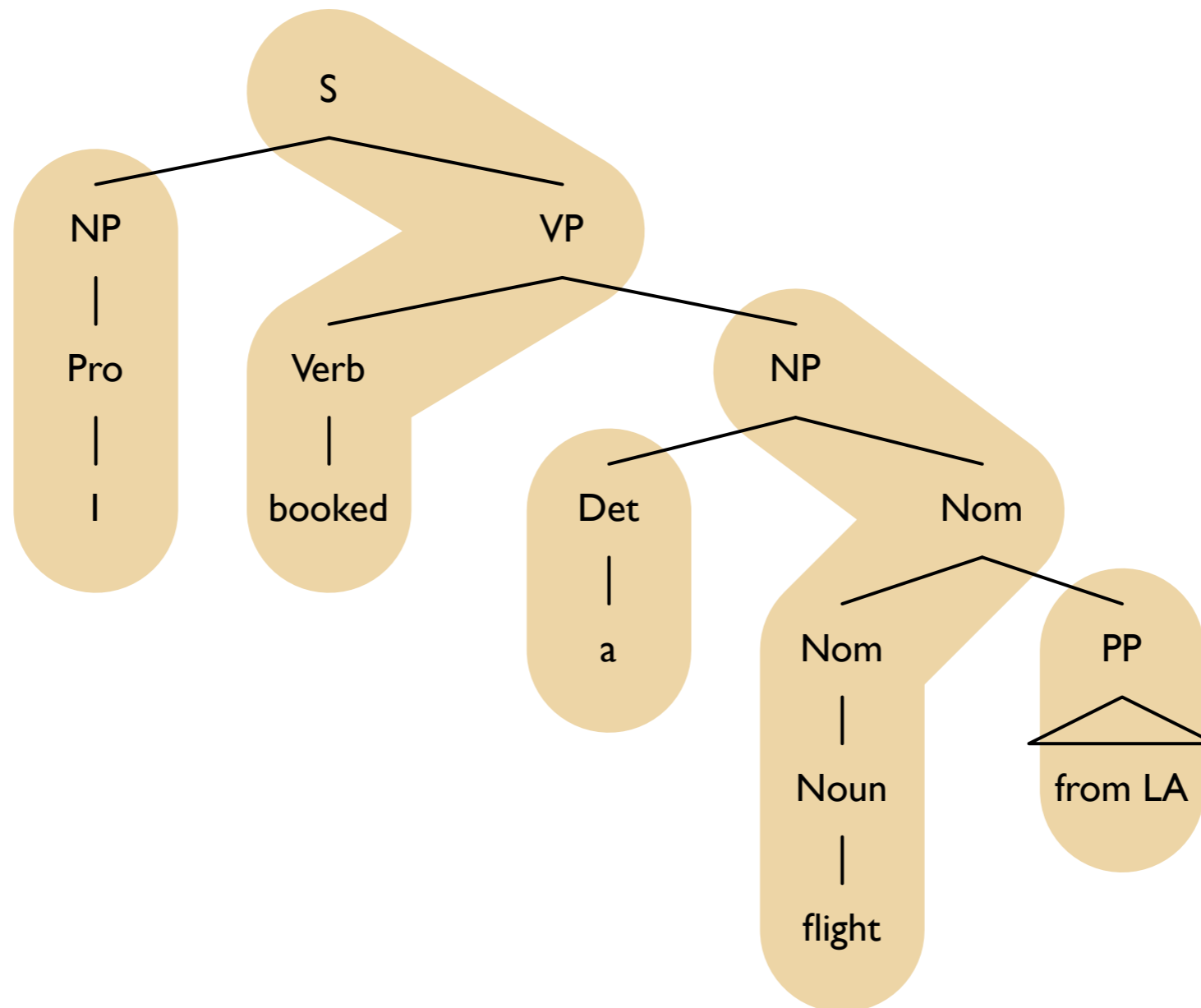
# Heads in phrase structure grammar

- In phrase structure grammar, ideas from dependency grammar can be found in the notion of **heads**.
- Roughly speaking, the head of a phrase is the most important word of the phrase: the word that determines the phrase function.

*Examples:* noun in a noun phrase,  
preposition in a prepositional phrase



# Heads in phrase structure grammar





# The history of dependency grammar

- The notion of dependency can be found in some of the earliest formal grammars.
- Modern dependency grammar is attributed to Lucien Tesnière (1893–1954).
- Recent years have seen a revived interest in dependency-based description of natural language syntax.





# Linguistic resources

- Descriptive dependency grammars exist for some natural languages.
- Dependency treebanks exist for a wide range of natural languages.
- These treebanks can be used to train accurate and efficient dependency parsers.

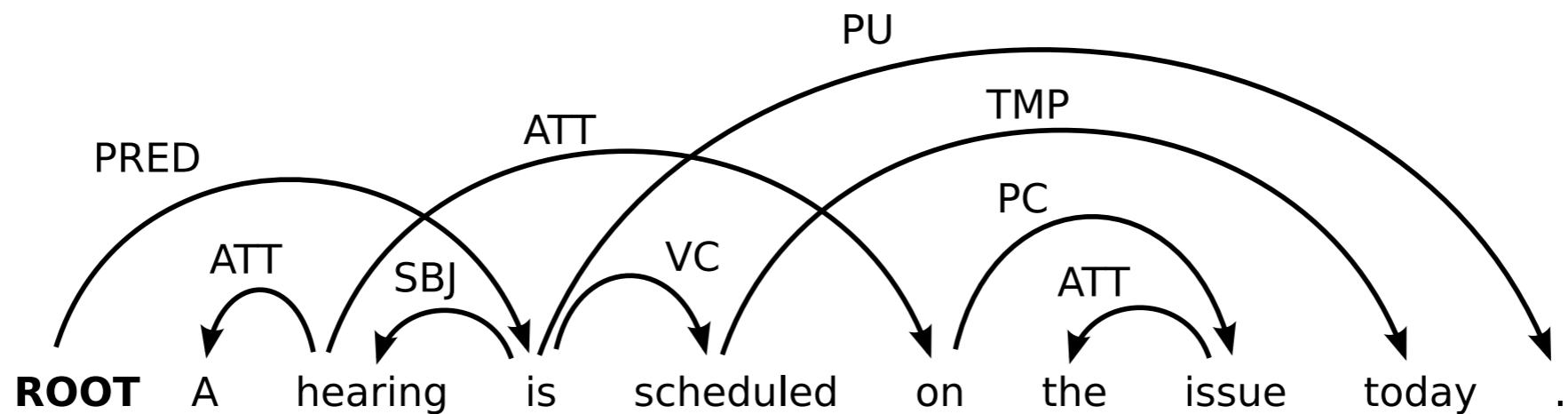
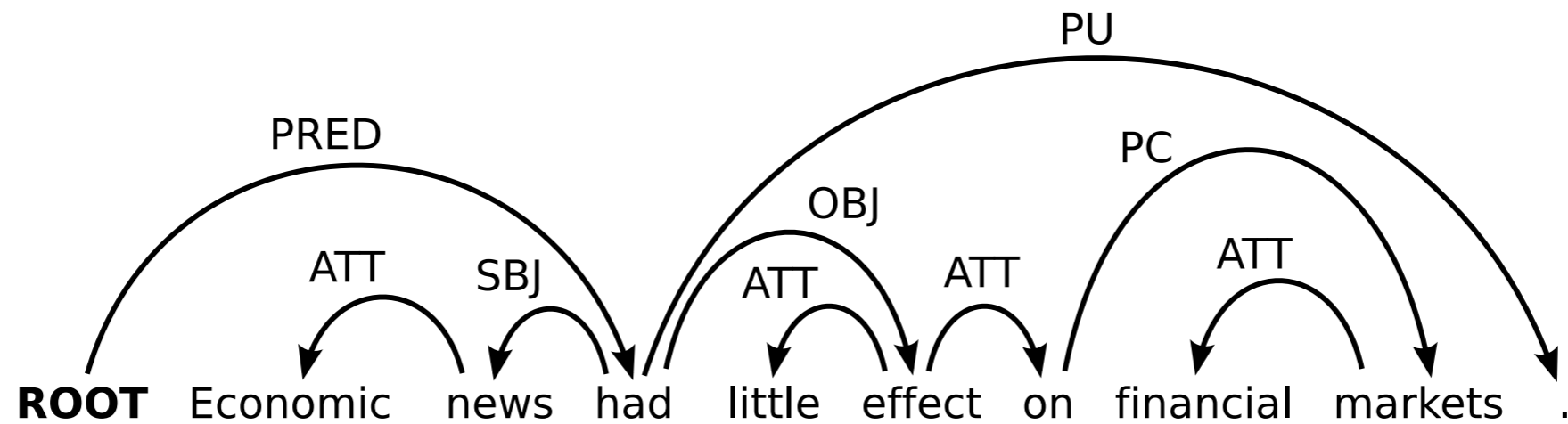


# Projectivity

- An important characteristic of dependency trees is projectivity
- A dependency tree is projective if:
  - For every arc in the tree, there is a directed path from the head of the arc to all words occurring between the head and the dependent (that is, the arc  $(i,l,j)$  implies that  $i \rightarrow^* k$  for every  $k$  such that  $\min(i, j) < k < \max(i, j)$ )



# Projective and non-projective trees





# Projectivity and dependency parsing

- Many dependency parsing algorithms can only handle projective trees
- Non-projective trees do occur in natural language
  - How often depends on the language (and treebank)



# Projectivity in the course

- The algorithms we will discuss in detail during the lectures will only concern projective parsing
- Non-projective parsing:
  - Seminar 2: Pseudo-projective parsing
  - Other variants mentioned briefly during the lectures
  - You can read more about it in the course book!





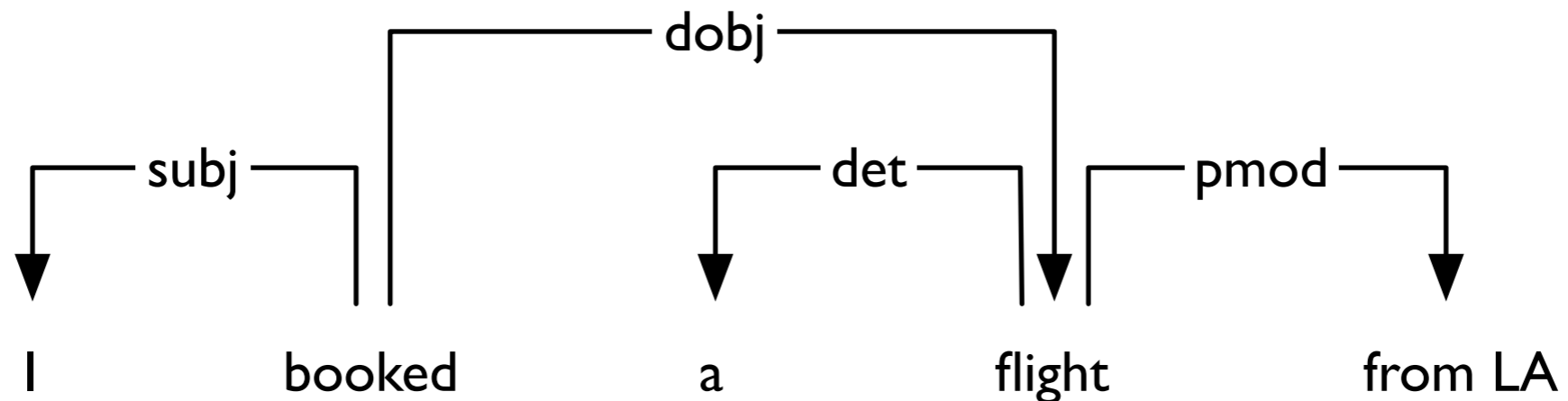
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# Arc-factored dependency parsing



# Ambiguity

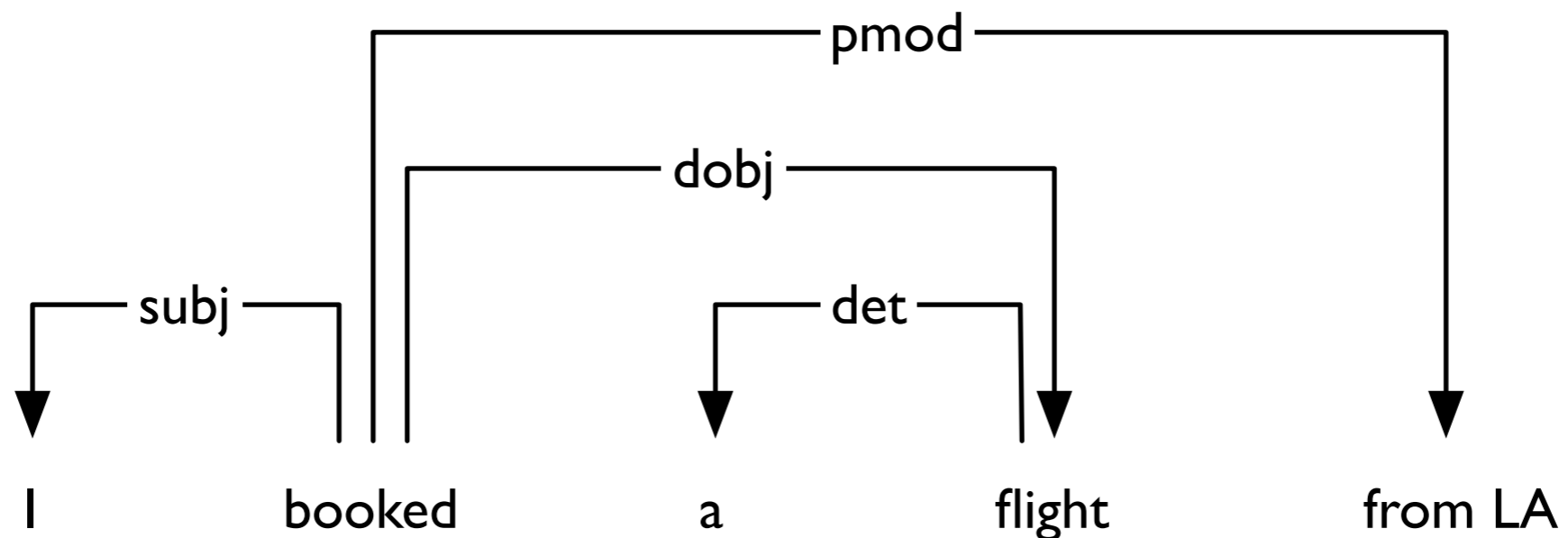
Just like phrase structure parsing,  
dependency parsing has to deal with ambiguity.





# Ambiguity

Just like phrase structure parsing,  
dependency parsing has to deal with ambiguity.





# Disambiguation

- We need to **disambiguate** between alternative analyses.
- We develop mechanisms for scoring dependency trees, and disambiguate by choosing a dependency tree with the highest score.



# Scoring models and parsing algorithms

Distinguish two aspects:

- **Scoring model:**

How do we want to score dependency trees?

- **Parsing algorithm:**

How do we compute a highest-scoring dependency tree under the given scoring model?



# The arc-factored model

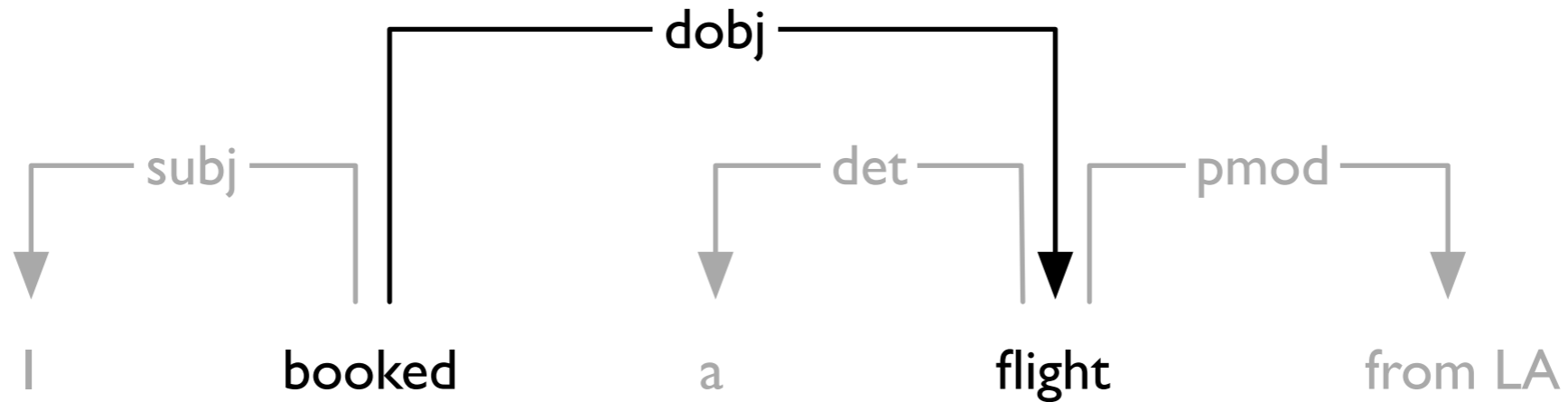
- Split the dependency tree  $t$  into **parts**  $p_1, \dots, p_n$ , score each of the parts individually, and combine the score into a simple sum.

$$\text{score}(t) = \text{score}(p_1) + \dots + \text{score}(p_n)$$

- The simplest scoring model is the **arc-factored model**, where the scored parts are the arcs of the tree.



# Features



- To score an arc, we define **features** that are likely to be relevant in the context of parsing.
- We represent an arc by its **feature vector**.



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Arc-factored dependency parsing

# Examples of features





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Arc-factored dependency parsing

# Examples of features

- ‘The head is a verb.’



# Examples of features

- ‘The head is a verb.’
- ‘The dependent is a noun.’



# Examples of features

- ‘The head is a verb.’
- ‘The dependent is a noun.’
- ‘The head is a verb  
*and* the dependent is a noun.’



# Examples of features

- ‘The head is a verb.’
- ‘The dependent is a noun.’
- ‘The head is a verb  
*and* the dependent is a noun.’
- ‘The head is a verb  
*and* the predecessor of the head is a pronoun.’



# Examples of features

- ‘The head is a verb.’
- ‘The dependent is a noun.’
- ‘The head is a verb  
*and* the dependent is a noun.’
- ‘The head is a verb  
*and* the predecessor of the head is a pronoun.’
- ‘The arc goes from left to right.’



# Examples of features

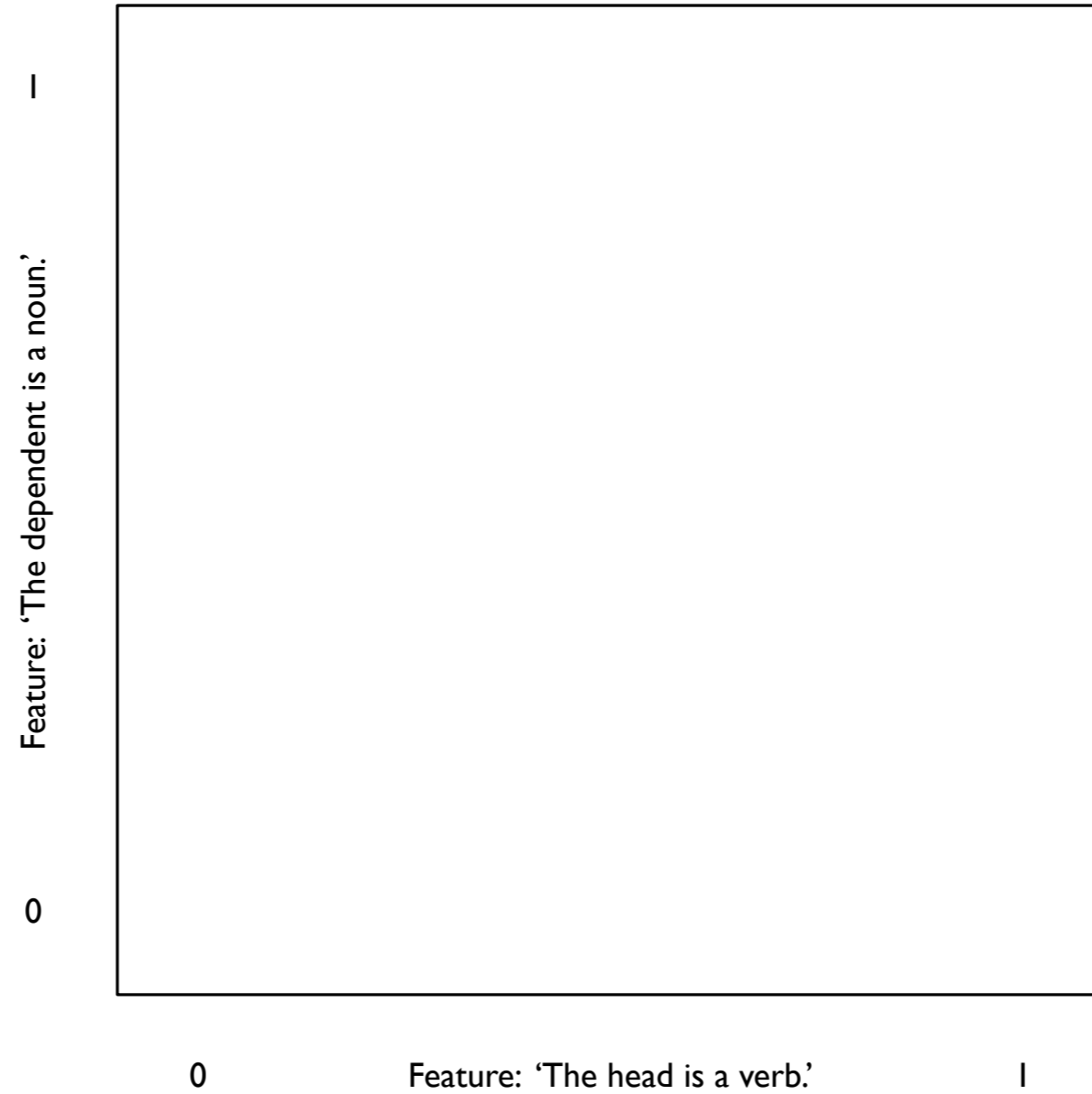
- ‘The head is a verb.’
- ‘The dependent is a noun.’
- ‘The head is a verb  
*and* the dependent is a noun.’
- ‘The head is a verb  
*and* the predecessor of the head is a pronoun.’
- ‘The arc goes from left to right.’
- ‘The arc has length 2.’



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Arc-factored dependency parsing

# Feature vectors





# Feature vectors







# Implementation of feature vectors

- We assign each feature a unique number.
- For each arc, we collect the numbers of those features that apply to that arc.
- The feature vector of the arc is the list of those numbers.

*Example:* [1, 2, 42, 313, 1977, 2008, 2010]



# Feature weights

- Arc-factored dependency parsers require a training phase.
- During training, our goal is to assign, to each feature  $f_i$ , a **feature weight**  $w_i$ .
- Intuitively, the weight  $w_i$  quantifies the effect of the feature  $f_i$  on the likelihood of the arc.

*How likely is it that we will see*

*an arc with this feature in a useful dependency tree?*



# Feature weights

We define the **score** of an arc  $h \rightarrow d$  as the weighted sum of all features of that arc:

$$\text{score}(h \rightarrow d) = f_1 w_1 + \dots + f_n w_n$$



# Training using structured prediction

- Take a sentence  $w$  and a gold-standard dependency tree  $g$  for  $w$ .
- Compute the highest-scoring dependency tree under the current weights; call it  $p$ .
- Increase the weights of all features that are in  $g$  but not in  $p$ .
- Decrease the weights of all features that are in  $p$  but not in  $g$ .



# Training using structured prediction

- Training involves repeatedly parsing (treebank) sentences and refining the weights.
- Hence, training presupposes an efficient parsing algorithm.



# Higher order models

- The arc-factored model is a first-order model, because scored subgraphs consist of a single arc.
- An  $n$ th-order model scores subgraphs consisting of (at most)  $n$  arcs.
- Second-order: siblings, grand-parents
- Third-order: tri-siblings, grand-siblings
- Higher-order models capture more linguistic structure and give higher parsing accuracy, but less efficient



# Parsing algorithms

- Projective parsing
  - Inspired by the CKY algorithm
    - Collins' algorithm
    - Eisner's algorithm
- Non-projective parsing:
  - Minimum spanning tree (MST) algorithms



# Graph-based parsing

- Arc-factored parsing is an instance of graph-based dependency parsing
- Because it scores the dependency graph (tree)
- Graph-based models are often contrasted with transition-based models (next week)
- There are also grammar-based methods, which we will not discuss





# Summary

- The term ‘arc-factored dependency parsing’ refers to dependency parsers that score a dependency tree by scoring its arcs.
- Arcs are scored by defining features and assigning weights to these features.
- The resulting parsers can be trained using structured prediction.
- More powerful scoring models exist.



# Overview

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# Collins' algorithm



# Collins' algorithm

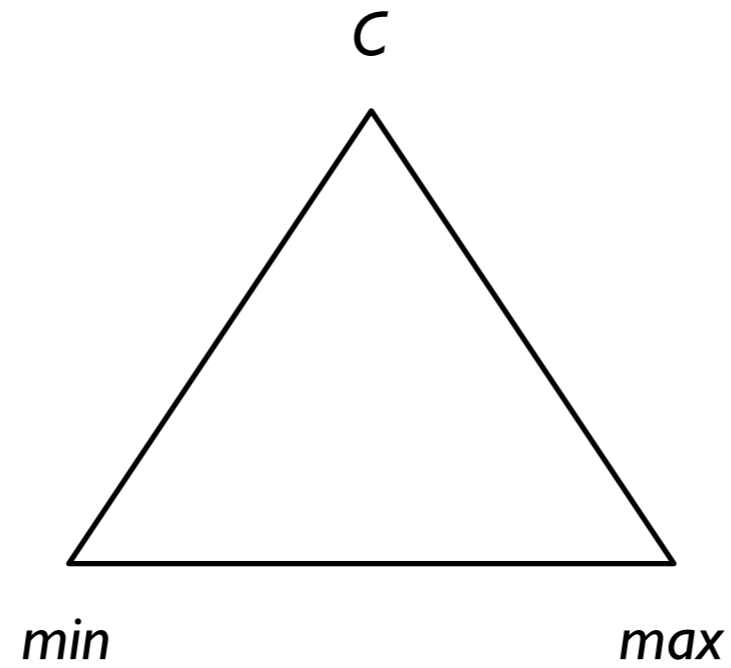
- Collins' algorithm is a simple algorithm for computing the highest-scoring dependency tree under an arc-factored scoring model.
- It can be understood as an extension of the CKY algorithm to dependency parsing.
- Like the CKY algorithm, it can be characterized as a bottom-up algorithm based on dynamic programming.



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Collins' algorithm

# Signatures, CKY



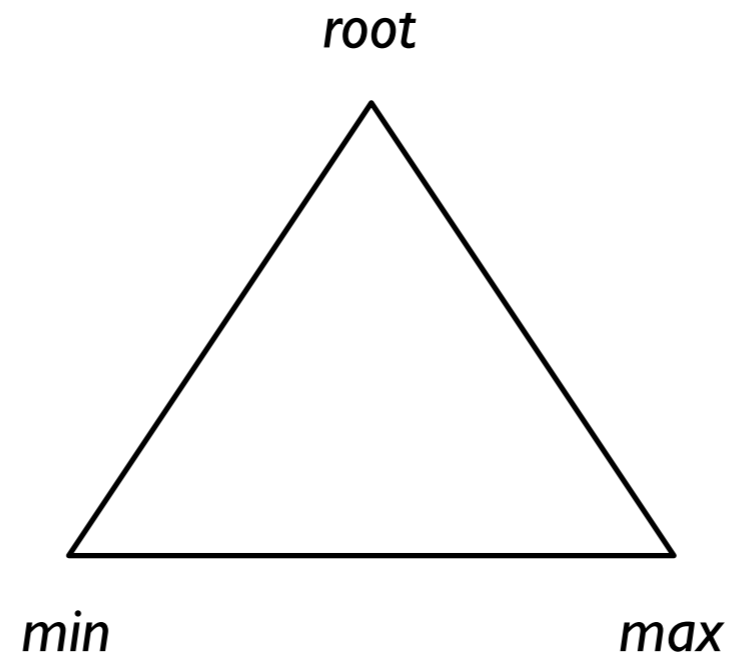
$[min, max, c]$



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Collins' algorithm

# Signatures, Collins'



**[*min, max, root*]**



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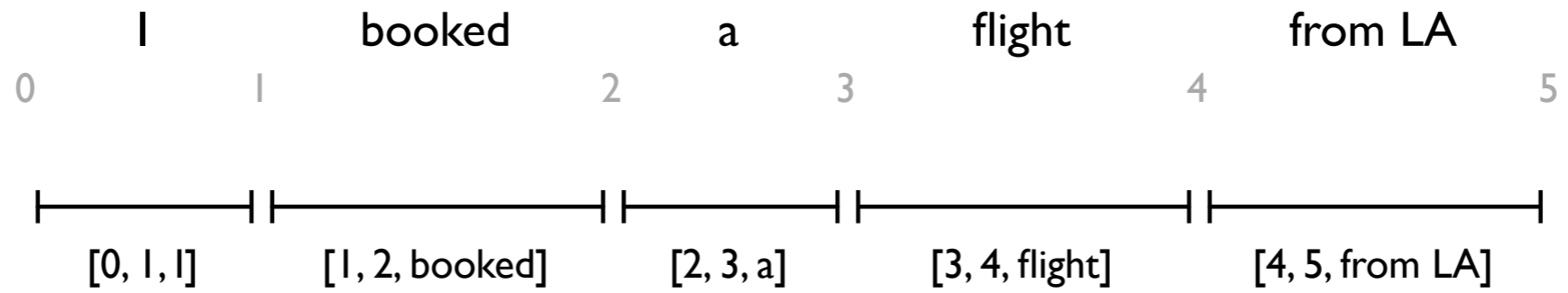
Collins' algorithm

# Initialization

0    I    1    booked    2    a    3    flight    4    from LA    5



# Initialization







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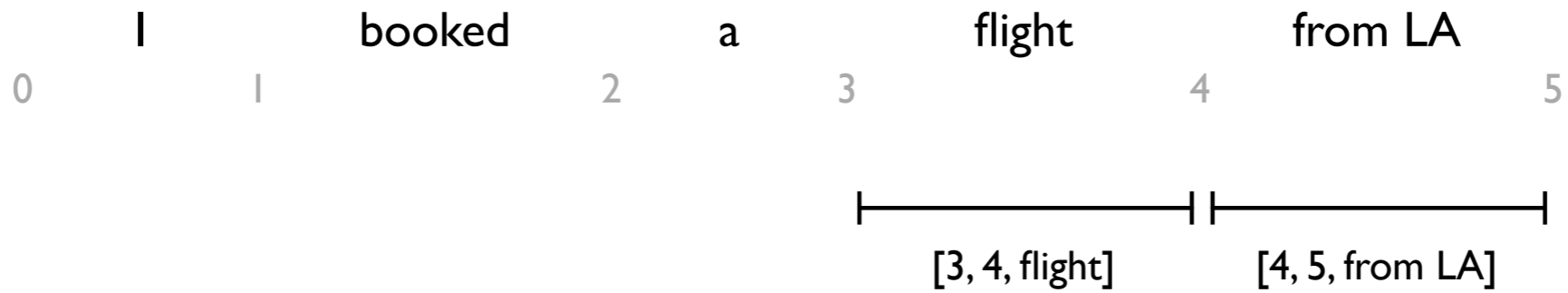
Collins' algorithm

# Adding a left-to-right arc

0    I    1    booked    2    a    3    flight    4    from LA    5

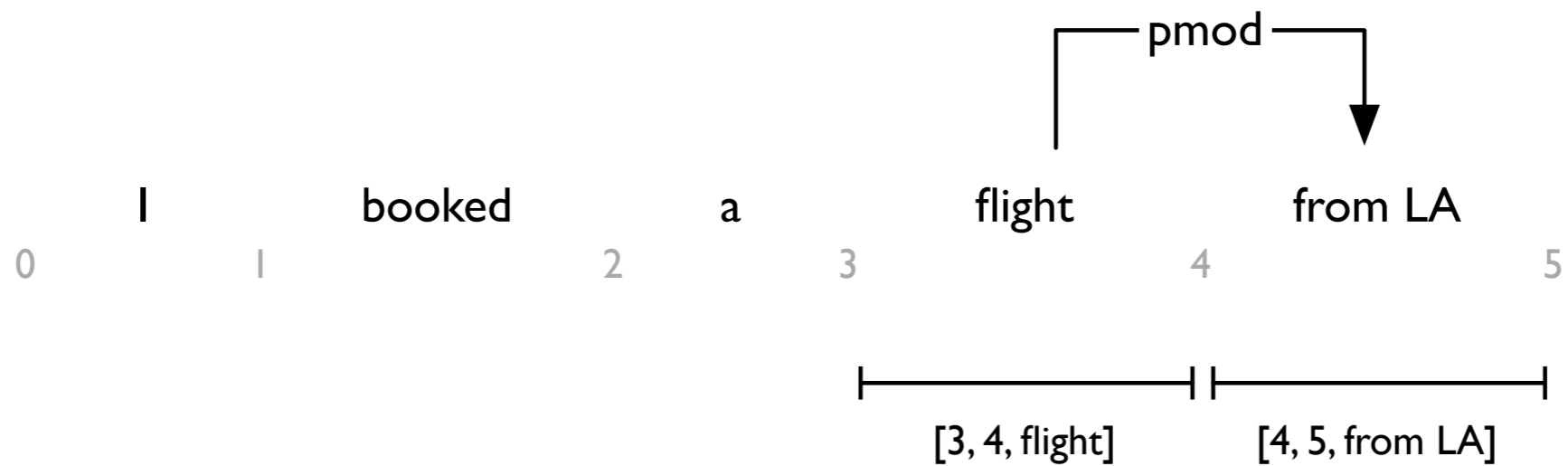


# Adding a left-to-right arc



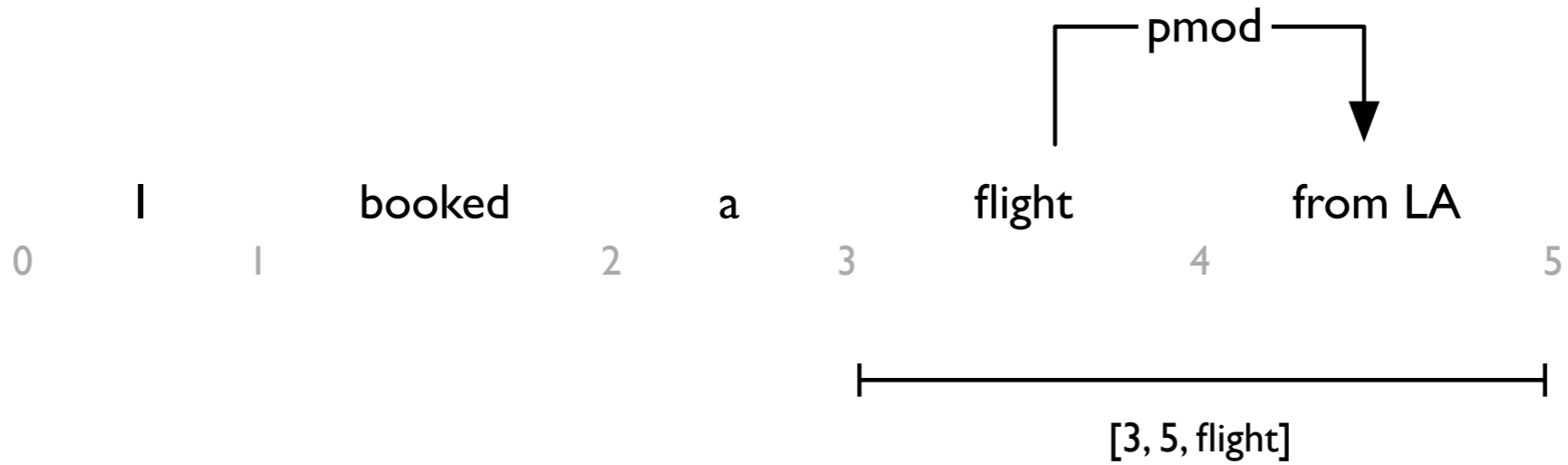


# Adding a left-to-right arc





# Adding a left-to-right arc





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Collins' algorithm

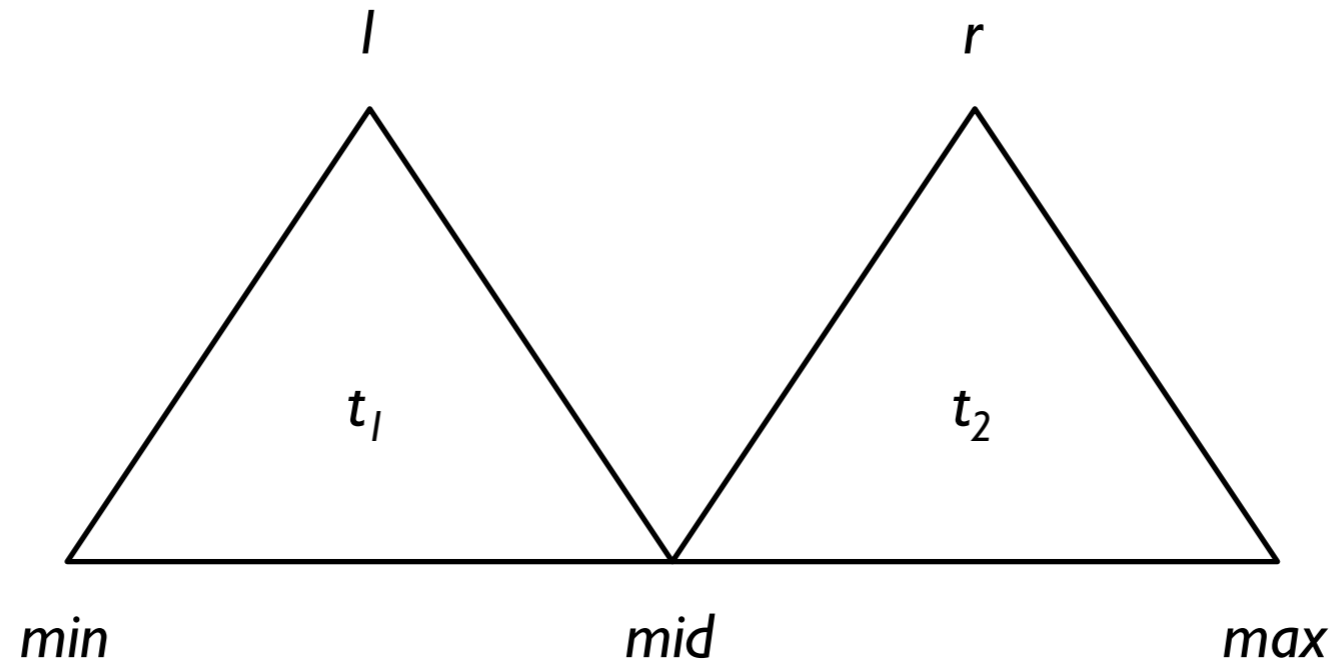
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Collins' algorithm

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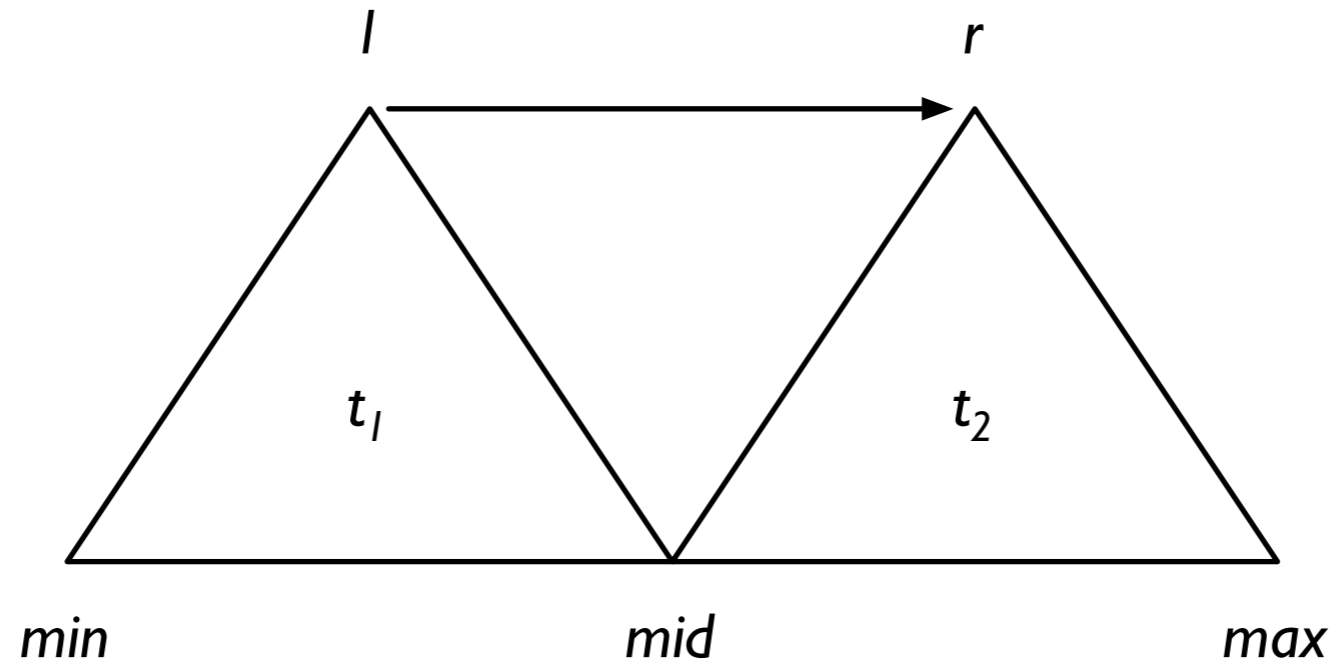




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Collins' algorithm

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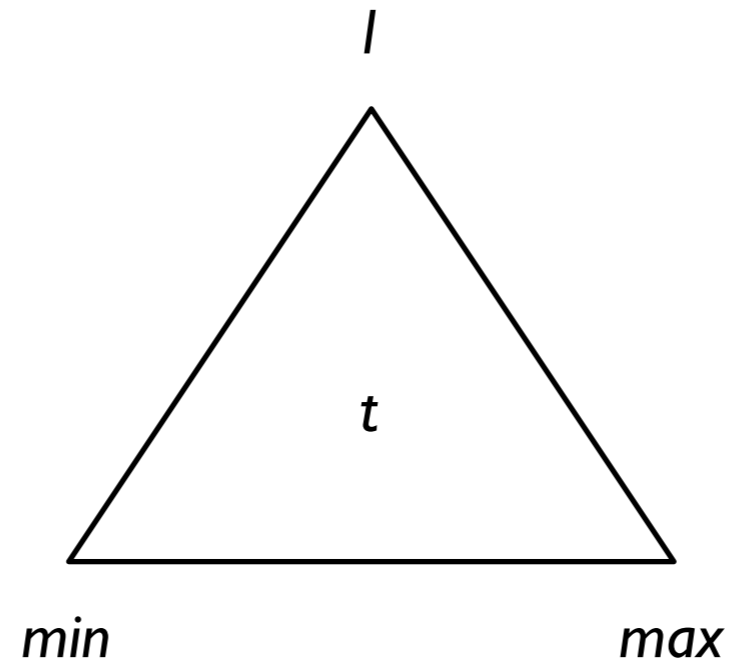




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Collins' algorithm

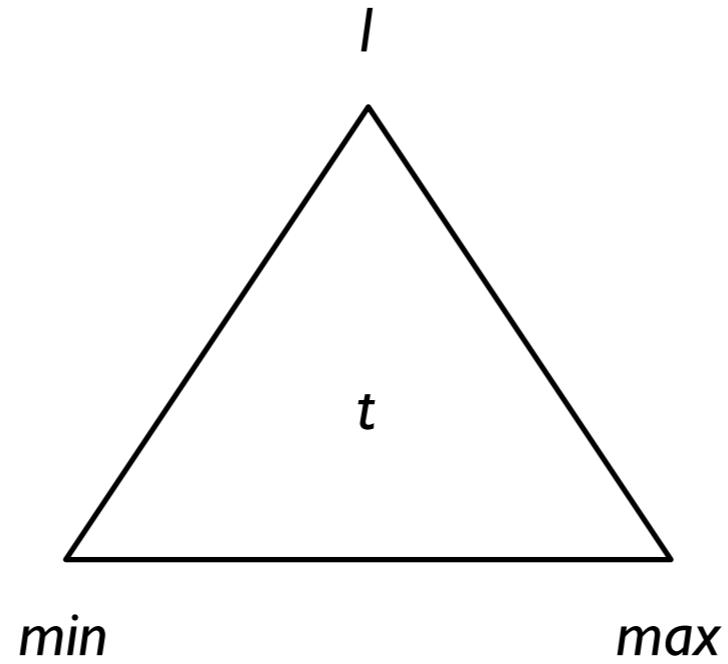
# Adding a left-to-right arc







# Adding a left-to-right arc



$$\text{score}(t) = \text{score}(t_1) + \text{score}(t_2) + \text{score}(l \rightarrow r)$$

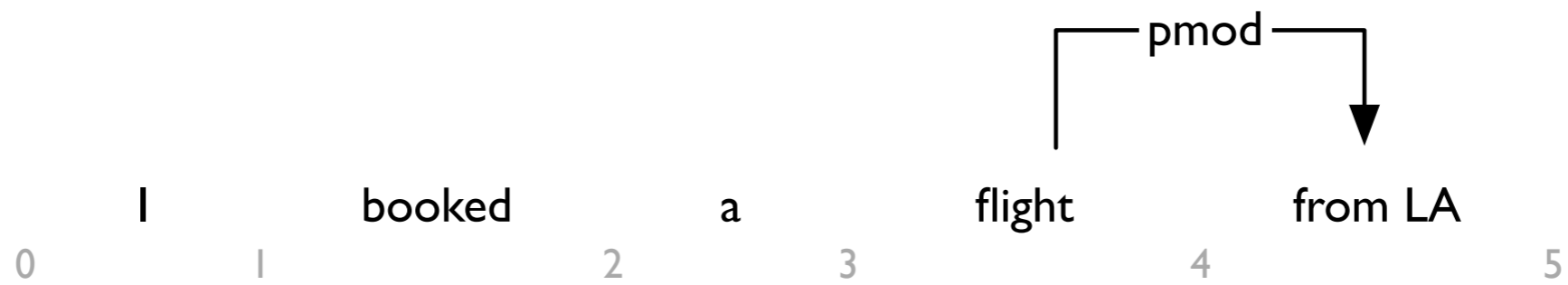


# Adding a left-to-right arc

```
for each [min, max] with max - min > 1 do
  for each l from min to max - 2 do
    double best = score[min][max][l]
    for each r from l + 1 to max - 1 do
      for each mid from l + 1 to r do
        t1 = score[min][mid][l]
        t2 = score[mid][max][r]
        double current = t1 + t2 + score(l → r)
        if current > best then
          best = current
    score[min][max][l] = best
```

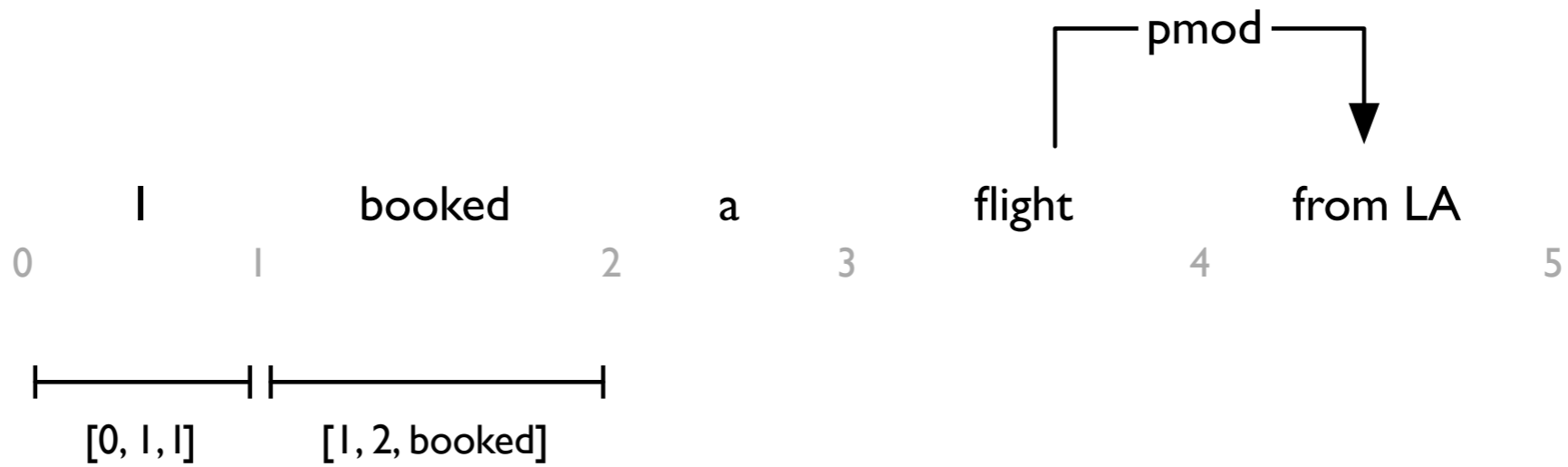


# Adding a right-to-left arc



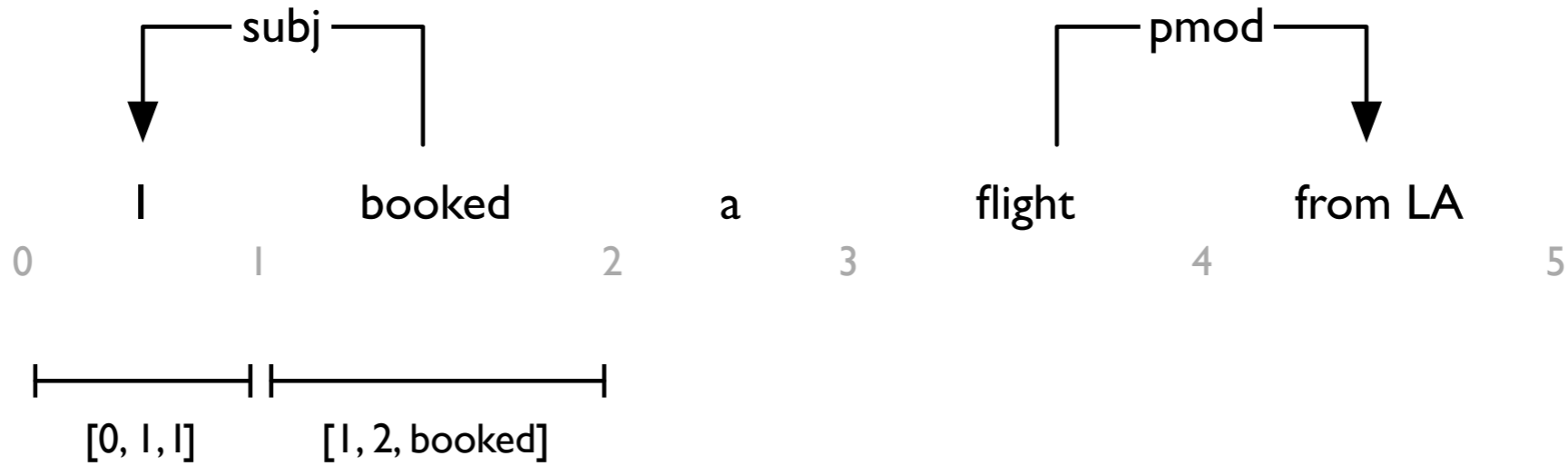


# Adding a right-to-left arc



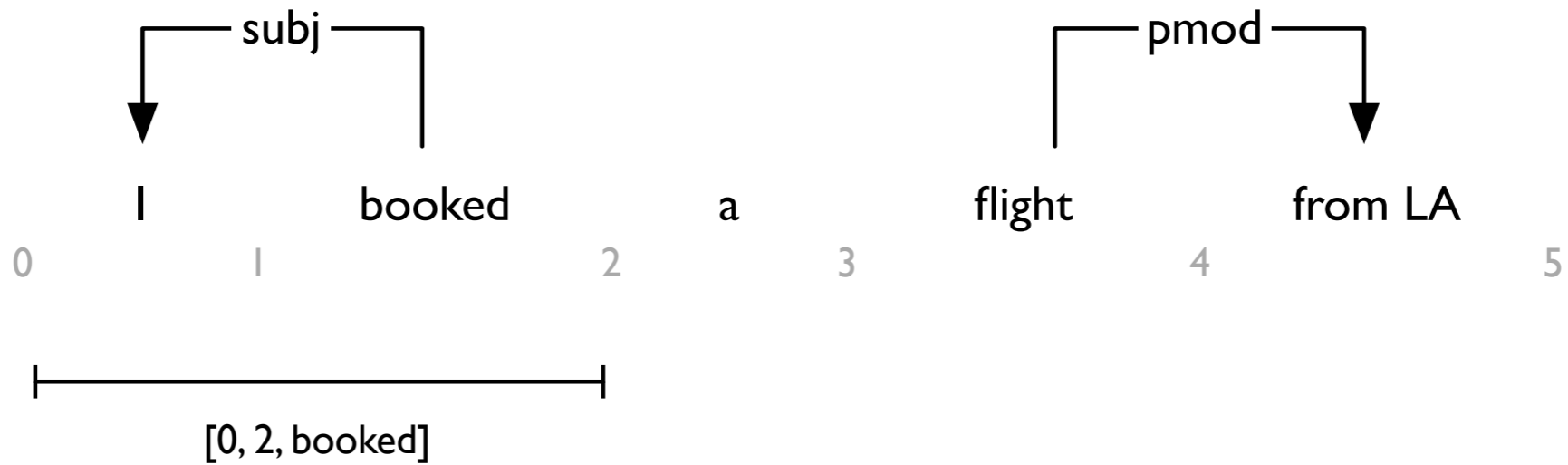


# Adding a right-to-left arc





# Adding a right-to-left arc





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Collins' algorithm

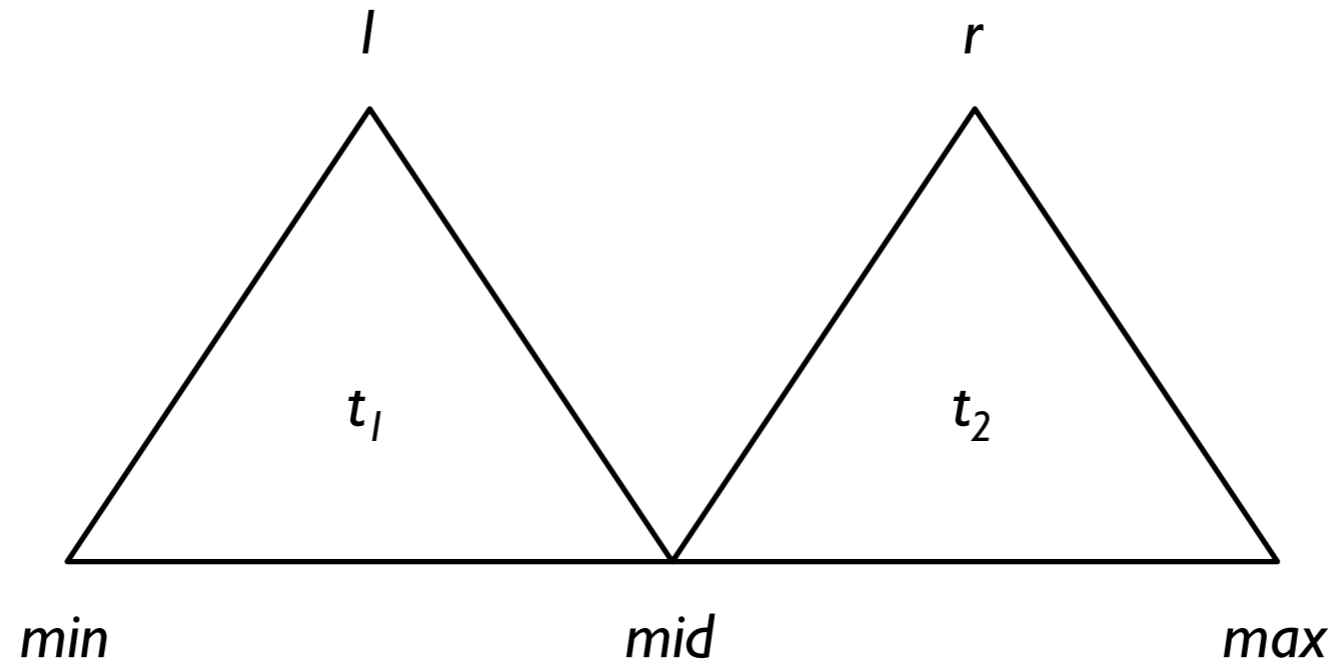
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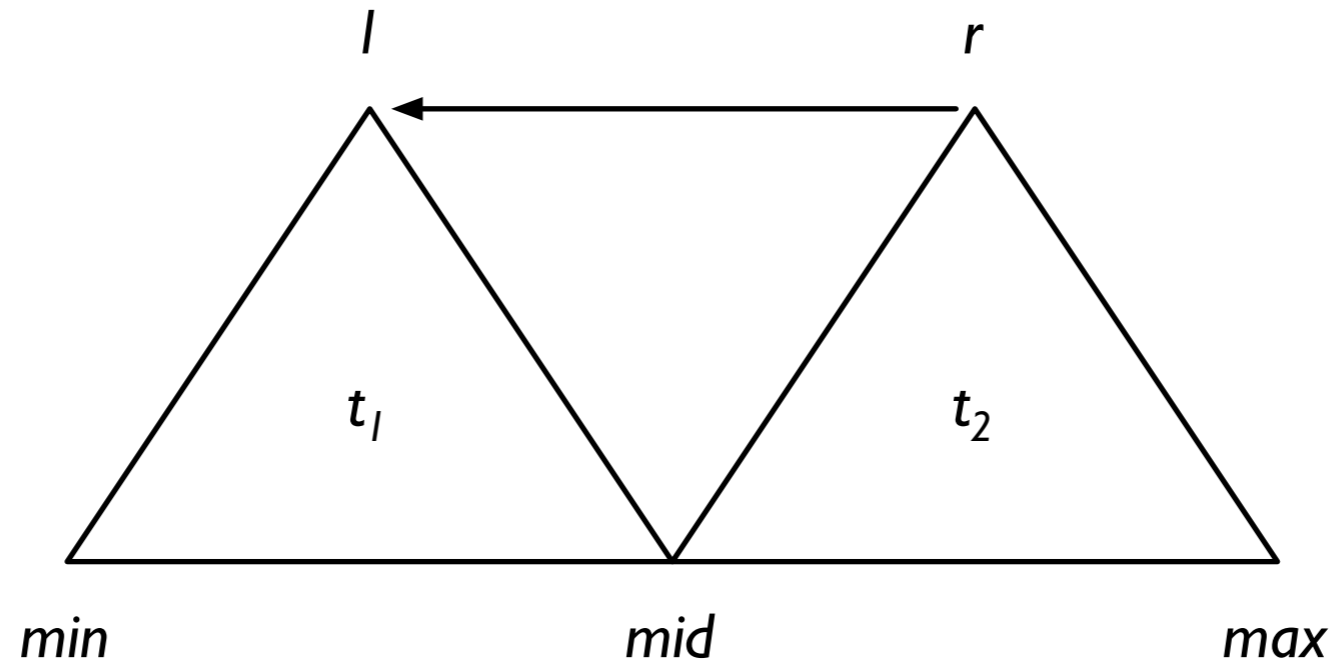




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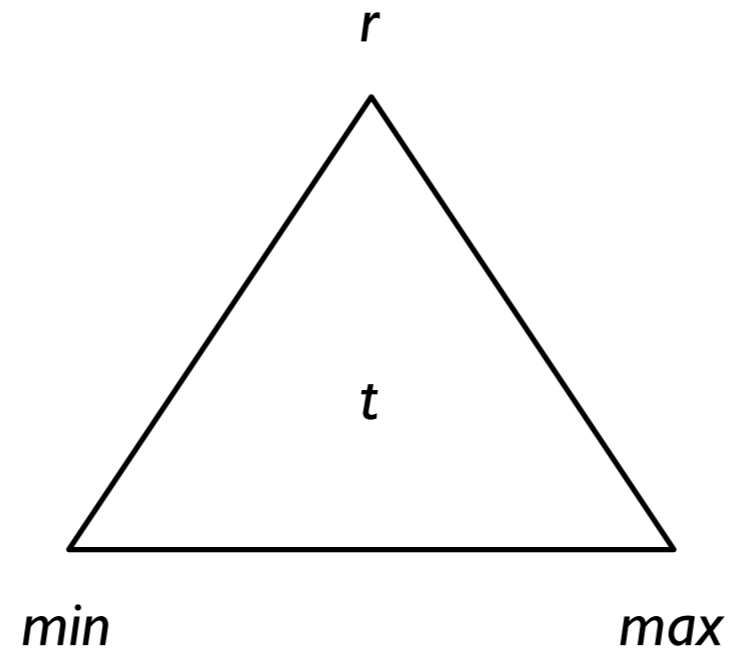




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Collins' algorithm

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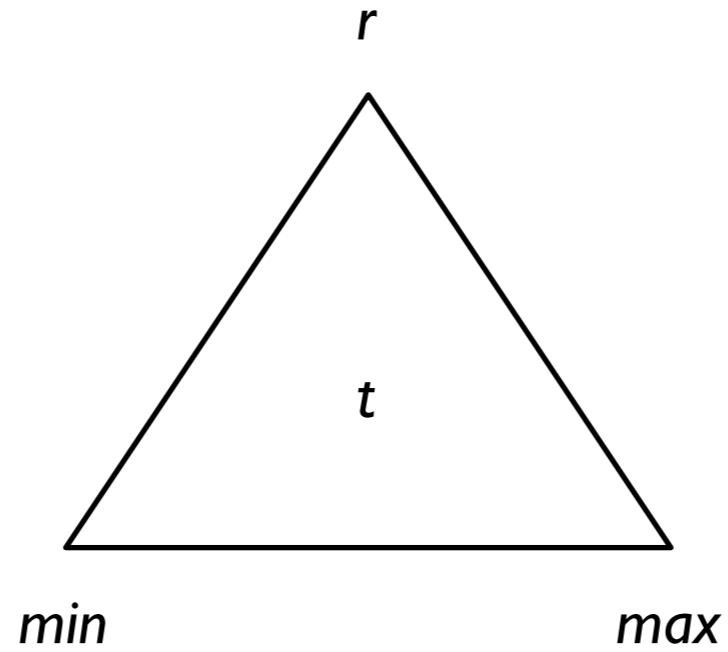




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Collins' algorithm

# Adding a right-to-left arc



$$\text{score}(t) = \text{score}(t_1) + \text{score}(t_2) + \text{score}(r \rightarrow l)$$

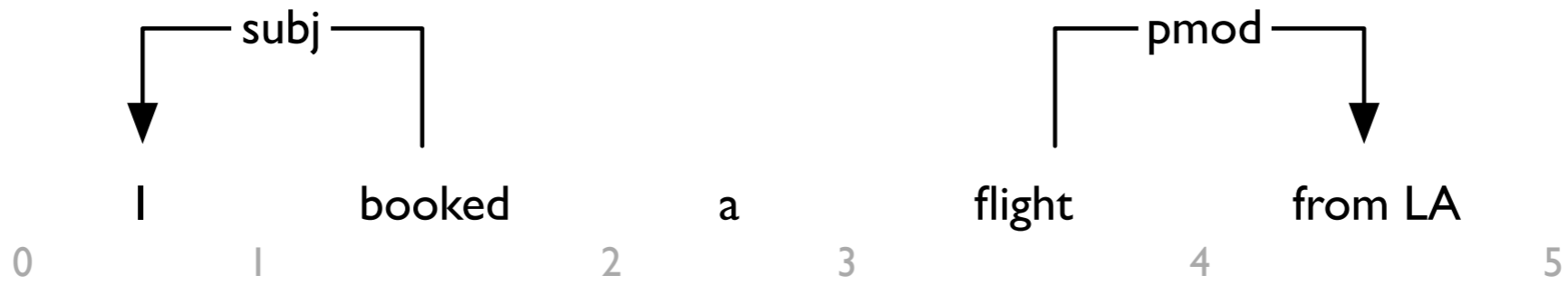


# Adding a right-to-left arc

```
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  for each r from min + 1 to max - 1 do
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        t1 = score[min][mid][l]
        t2 = score[mid][max][r]
        double current = t1 + t2 + score(r → l)
        if current > best then
          best = current
    score[min][max][r] = best
```

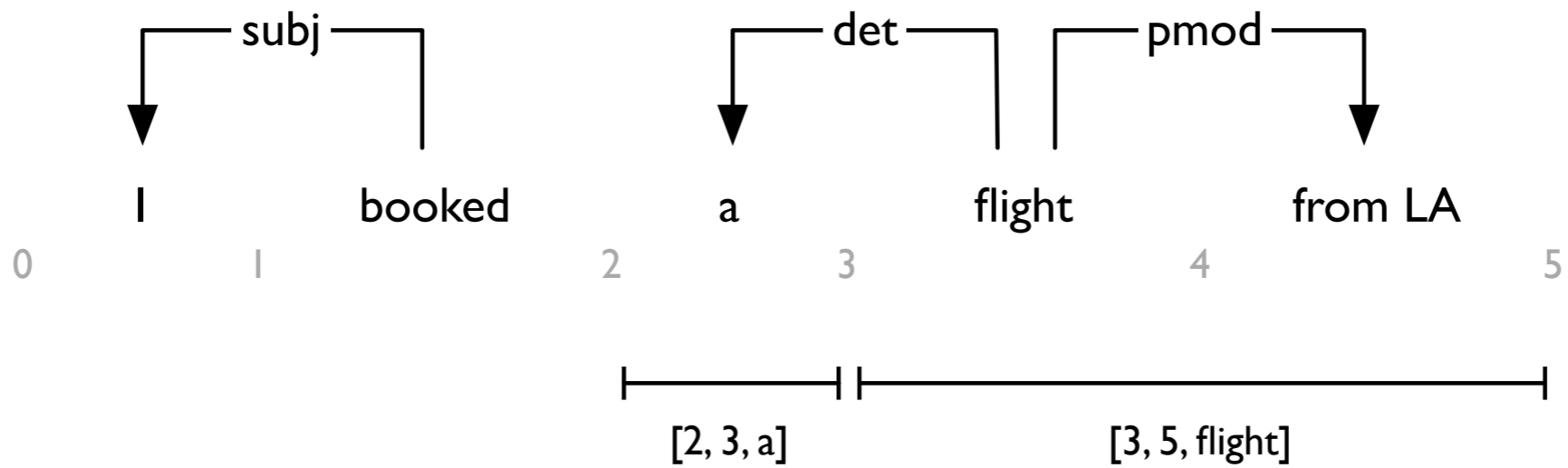


# Finishing up



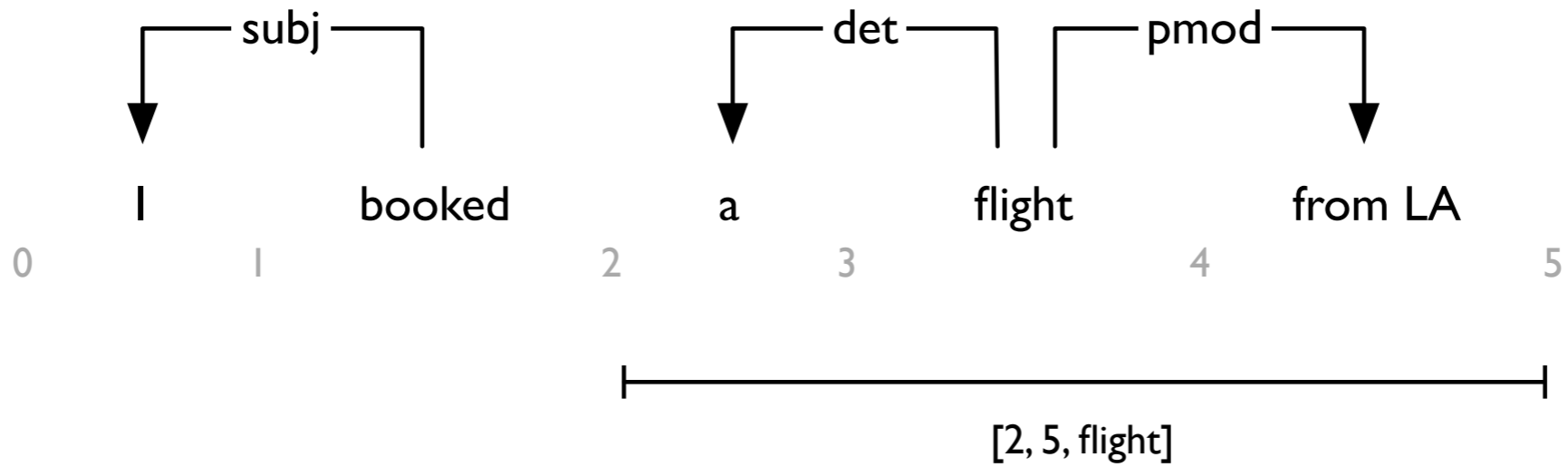


# Finishing up



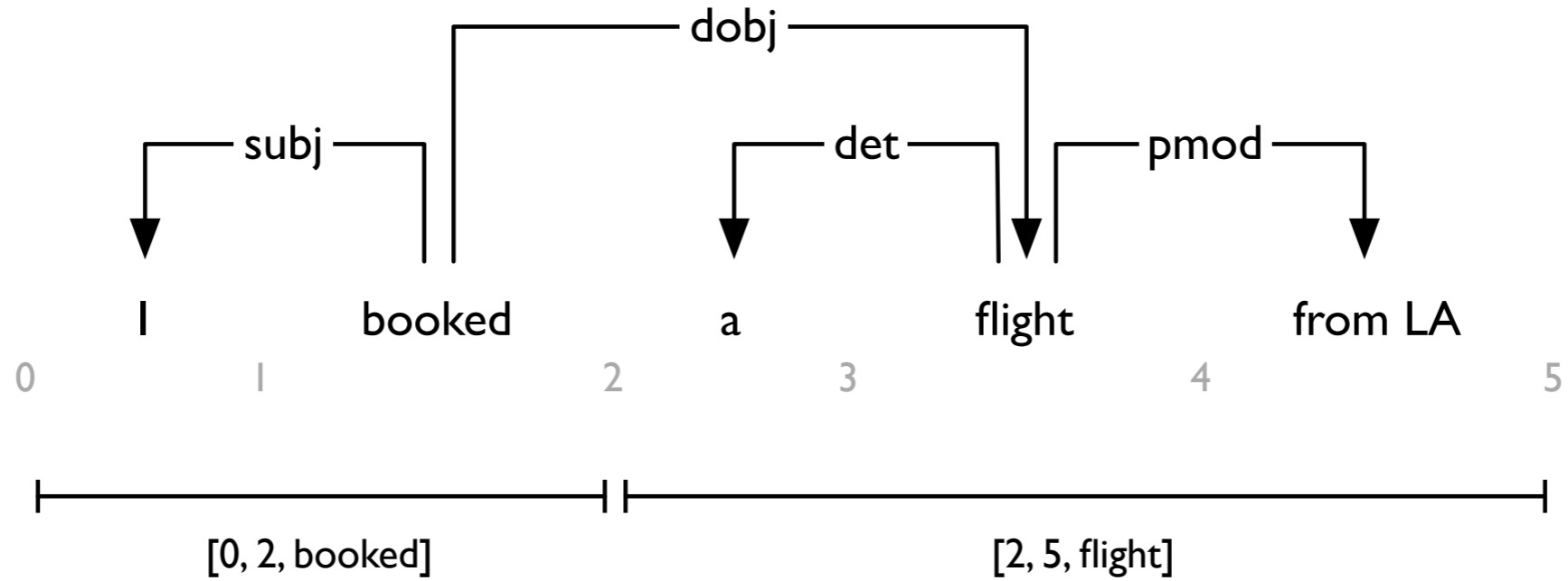


# Finishing up





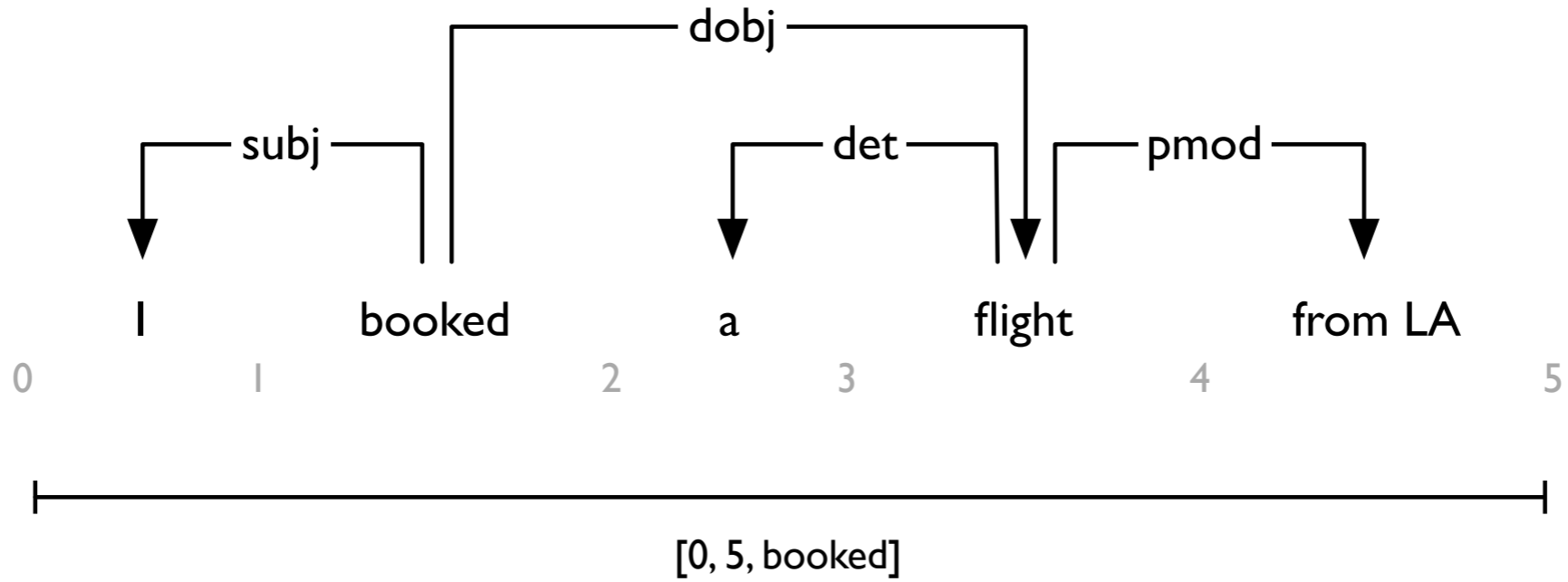
# Finishing up







# Finishing up





# Complexity analysis

- **Space requirement:**  
 $O(|w|^3)$
- **Runtime requirement:**  
 $O(|w|^5)$



# Summary

- Collins' algorithm is a CKY-style algorithm for computing the highest-scoring dependency tree under an arc-factored scoring model.
- It runs in time  $O(|w|^5)$ .  
This may not be practical for long sentences.